



## COMMITTEES QUARTER OVERSIGHT REPORT ON DEPARTMENTAL PERFORMANCE

### OVERSIGHT COMMITTEE ON THE PREMIER'S OFFICE AND THE LEGISLATURE (OCPOL)

Committee Details		Department Details	
Name of Committee	OCPOL	Name of Department	GPL
Which Financial Year	2022/23	Dept. Budget Vote Nr.	2
Which Quarter	4 <sup>th</sup>	Hon. Minister / MEC	H N Mekgwe
Committee Approvals			
	Name	Date Approved by Chairperson	
Hon. Chairperson	BW Dhlamini	Thursday, 8 <sup>th</sup> June 2023	
Adoption and Tabling			
Date of Final Adoption by Committee		Scheduled date of House Tabling	
Thursday, 8 <sup>th</sup> June 2023		Thursday, 15 <sup>th</sup> June 2023	

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## i. ABBREVIATIONS

Abbreviation	Full Wording
APP	Annual Performance Plan
COVAC	Committee's Oversight and Accountability Framework
CPA	Commonwealth Parliamentary Association
CPW	Commonwealth Woman Parliamentarians
FAMLA	Financial Management Act of the Gauteng Provincial Legislature
HDIs	Historically Disadvantage Individuals
ITCILO	International Training Centre of The International Labour Organisation
ICT	Information Communication Technology
ILR	Inter-Legislature Relations
LEO	Legislature Employment Organisation
MPLs	Members of the Provincial Legislature
NDP	National Development Plan
OCOC	Office of Chairpersons of Committees
PwDs	Persons living with Disabilities
SOAR	Standardised Oversight and Accountability Reporting
SOM	Sector Oversight Model
SoCATT	Society of Clerks at the Table
SDGs	Sustainable Development Goals
PSC	Petitions Standing Committee

## ii. EXECUTIVE SUMMARY

### ii. [Executive Summary]

#### Summary of GPL Achievements for the quarter under review

The financial performance of the GPL reflects an expenditure of R179.10 million (86.1 %) of the budget allocation of R208.05 million with R28.94 million under-spending in the quarter under review. The GPL recorded an under-spending of 13.9 % with Compensation of Employees under-spending by R2.1 million or 1.1% due to unfilled vacancies, outstanding 2021/22 pay-progression for P07 and P08 staff members; and lower than projected payments for 2021/22 pay-progression of P09 to P16 staff members, based on the outcome of the verification and audit of IPMS submissions and Portfolio of Evidence. It is concerning that unfilled vacant positions have been a contributing factor to under-spending since the beginning of the financial year with GPL citing that due to the Value Creation Project underway, a moratorium was placed on filling vacant positions until a realigned organisational structure is approved for implementation.

Goods and services under-spent by R19 million or 20.3% mostly due to Committee activities, Gender Responsive Budgeting (GRB) on-site training in Italy trip postponement to April 2023, Communication and PPP Strategy, Chairperson of Committees' international study tour to Angola, Chairpersons of Committees' review session and Members Travel Benefit Fund unallocated funds following verification and audit of the 2020/21 and 2021/22 travel for political parties.

Capital Assets under-spent by R7.9 million or 99.5% due to audio-visual equipment for caucus rooms' tender validation date expiry and the tender will be re-advertised; ICT strategy; delayed delivery of generator due to stock shortages in the country; delayed procurement processes for a new access card machine and procurement of Hansard MacBooks.

## ii. [Executive Summary]

The Committee notes that in terms of financial performance per programme, Programme 1: Leadership and Governance was allocated an amount of R14.947 million and managed to spend R14.442 million (96.9 %), under-spending by R505 thousand or 3.4%. Compensation of Employees over-spent by R500 thousand or 9.6% due to payment of once-off non-pensionable cash of R25 thousand to bargaining unit staff members in December, in line with 2022/23 salary agreement between LEO and NEHAWU. The payment was made towards the GPL year- end closure and only posted in the fourth quarter. Goods and Services under-spent by R1 million or 10.3% due to the Chairperson of Committees (CoCs) international study tour to Angola that did not take place due to the identified host not accepting the invitation; CoCs Review Session not undertaken due to Committees undertaking their international travel and, Stakeholder relations management not undertaken due to a congested Legislature programme and conflicting priorities.

Programme 2: The Office of the Secretary was allocated a budget of R11.856 million and managed to spend a total amount of R6.752 (57.0%), under-spending by R5.104 million (or 43.0 %). Compensation of Employees under-spent by R1.9 million or 33.2% due to unfilled vacancies; outstanding 2021/22 pay-progression for P07 and P08 staff members; and lower than projected payments for 2021/22 pay-progression of P09 to P16 staff members, based on the outcome of the verification and audit of IPMS submissions and Portfolio of Evidence. Goods and Services under-spent by R3.2 million or 52.2% due to the Gender Responsive Budgeting on-site training in Italy that was postponed to April 2023.

Programme 3: Corporate Support Services was allocated R93.468 million and spent R 76.713 million (82.1 %), under-spending by R16.755 million or 17.9%. Compensation of Employees under-spent by R5.3 million or 15.9% due to the unfilled vacancies; outstanding 2021/22 pay-progression for P07 and P08 staff members; and lower than projected payments for 2021/22 pay-

## ii. [Executive Summary]

progression of P09 to P16 staff members, based on the outcome of the verification and audit of IPMS submissions and Portfolio of Evidence.

Goods and services under-spent by R5.1 million or 11.7% due to the Members Travel Benefit Fund unallocated funds following verification and audit of the 2020/21 and 2021/22 travel for Political Parties as well as Training and Development. Capital assets under-spent by R6.3 million or 99.5% due to the Audio-visual equipment for caucus rooms' tender validation date that expired, and the tender will be re-advertised; ICT strategy service provider has been appointed and CISCO equipment will be delivered in 2023/24; New access card machine delayed procurement processes; Hansard MacBooks service provider has been appointed and MacBooks will be delivered in 2023/24; and Access control tablets delayed procurement processes.

Programme 4: Core Business received the second largest budget of R73.297 million and has spent R 69.585 million (94.9%) under-spending by R3.712 million (5.1 %). Compensation of Employees over-spent by R3.8 million or 8.9% due to payment of once-off non-pensionable cash of R25 thousand to bargaining unit staff members in December, in line with 2022/23 salary agreement between LEO and NEHAWU. The payment was made towards the GPL year- end closure and only posted in the fourth quarter.

Goods and services under-spent by R7.5 million or 24.6% due to Committee activities; Communications and PPP strategy and Records Management project delayed processing of invoices.

The Office of the CFO has spent a total amount of R11.614 million (80.2%) against a budget allocation of R14.485 million, under-spending by R2.871 million (or 19.8%). Compensation of Employees over-spent by R3.8 million or 8.9% due to payment of once-

## ii. [Executive Summary]

off non-pensionable cash amount of R25 thousand to bargaining unit staff members in December, in line with 2022/23 salary agreement between LEO and NEHAWU. The payment was made towards the GPL year- end closure and only posted in the fourth quarter.

Goods and services under-spent by R2.2 million or 61.6% as a result of Invoices still to be processed; Maintenance and repairs inventory delayed procurement processes; Art curator service provider not appointed due to a non-responsive market; and Supplier Open Day not conducted due to competing GPL priorities such as the Opening of the Legislature.

Capital assets under-spent by R1.6 million or 99.7% due to the delayed delivery of the generator due to stock shortages in the country; Deputy Speaker furniture; procurement of High back chairs which was suspended due to movement of staff from Sage to main building in line with the Space Optimisation project; and lower demand for heaters.

The Committee notes that the GPL has collected a total amount of R11.9 million for the quarter under review. The reported revenue was collected mostly from interest received from favourable bank balances at R7.4 million; Investment interest at R3.9 million; Parking income at R466 thousand; and Sales of assets at R109 thousand and to date, the GPL has collected a total amount of R38.6 million.

The non-financial performance of the GPL reflects that 15 targets were achieved against the 20 planned. The under-achievement recorded is with respect to the Adoption of a Committee Inquiry Report; HR Strategy Implementation; Leadership Initiatives Implementation; Inter-Legislature Relations (ILR) Strategy Implementation and Integrated Communication and PPP Strategy



## ii. [Executive Summary]

Implementation. The GPL reported that the milestones of Leadership initiatives' implementation plan and HR Strategy's implementation plan will be implemented in the next financial year. The adoption of the Committee Inquiry Report was not achieved due to extended delays in sourcing technical experts, but administrative processes are underway.

With respect to the 90% attainment of the 5-Year Integrated Strategy's Implementation Plan of Communications and PPP, 70% of the planned milestones was recorded due to delays in the internal processes to source service providers, unresponsiveness of the market for sourcing service providers, as well as cancellation of some activities due to competing political priorities. The 80% of targets in ILR strategy implementation plan was not achieved as only 72% was recorded. It was reported that 8% of the planned outputs were dependent on sector activities such as the Gauteng Speaker's Forum.

In terms of Preferential Procurement, the Committee notes the GPL achieved 68% against a target of 60% for Historically Disadvantaged Individuals (HDIs), PwDs achieved 1% against a revised target of 0.50%, Youth achieved 10% as planned, Township Spent achieved 33% against a target of 10% and Women achieved 34% against a target of 30%. The Committee also notes that 1 255 payments of service providers were processed during the quarter under review with 1 191 paid within 15 days, 40 paid within 30 days and 24 paid after 30 days.

The GPL continued to engage its stakeholders and the public through the implementation of the Inter-Legislature Relations as well as the Integrated Communications and Public Participation and Petitions Strategies. In the quarter under review, the quarterly session of Gauteng Speakers Forum was convened under the theme "Collaboration with Organs of the State". The meeting was

## ii. [Executive Summary]

attended by Speakers of Municipal Councils, Office of the Premier, the Provincial Electoral Officer of the Independent Elections Commission, Department of Home Affairs, and a foundation named Growing Without a Father.

The GPL participated in the Legislature Support Services (LSS) task team meetings, Secretaries Forum executive committee meeting, and the legislatures' collective bargaining council. Delegations from the Parliament of Uganda and the North-West Legislature were hosted to share best practices in the legislative sector.

### Summary of the main strategic risks forecast by the Institution during the period under review – and measures in place to manage them

The GPL identified the following strategic risks and mitigation plans in the quarter under review:

**Non-adherence to regulatory environment and mandate of the GPL:** The Policy Committee developed an annual calendar indicating policies due for review in each quarter. This will fast-track the review process as well as addressing gaps identified within the policy environment. The calendar also makes provision for consultation to ensure that when a policy reaches approval stage, it has already been consulted on and benchmarked accordingly. A policy process dashboard is currently being developed. A Policy Tracking Tool was developed and is updated weekly to provide updates on the policy status. This enables the Policy Committee to produce monthly reports on the progress and status of the policy register.

**Lack of business continuity:** The implementation of the hybrid committee rooms A, B & C can now host hybrid meetings and the auditorium have been completed. The committee rooms are currently in use.

## ii. [Executive Summary]

**Non-achievement of strategic goals due to inadequate funding:** The cost efficiency measures has been implemented on both the Adjustment Budget for 2021/2022 and the Main Budget for 2022/23. It is also monitored on all daily transactions through budget control. The process has been effective in identifying further cost reduction and all the approved plans for 2022/23 have been fully funded and budget has been approved by Legislature Service Board (LSB).

**Poor records management:** The appointment of a service provider was approved by the Accounting Officer and appointment letter accepted by the service provider on 10 October 2022. Information Knowledge Management (IKM) commenced with the project and will prioritize areas of non-compliance. Shadowing project will also be aligned for skills transfer to build capacity. Service provider conducted maturity assessment and issued draft report on the of 14 December 2022 including an implementation plan. The implementation plan for POPIA & PAIA Manual has been developed and the project roll-out has commenced and is being tracked for progress. Training and workshops with GPL employees & various units is ongoing and undertaken with assistance of Training & Development unit within HR.

**Lack of effective response to the socio-economic and technological factors that affect the Legislature business, i.e., 4IR and political environment:** The ICT Strategy aligned to the 5-year strategy was developed and approved. The implementation of the ICT strategy in the 1<sup>st</sup> year achieved 91% by 31 March against an APP baseline target of 80%. The 2022/23 execution plan has been finalised and signed off for roll-out and will be monitored and reported on a quarterly basis.

**Ineffective public participation in the Legislature business:** As part of the GPL reskilling project, a total number of nine colleagues were placed in PPP. However, 4 has withdrawn from the agreement due to various reasons advanced. The placement

## ii. [Executive Summary]

contracts are yet to be signed. 2 x Interns were appointed and will be rotating within PPP. The contract for Petitions Administrator which was to end on 15 December 2022 was extended. Capacity challenge is still a concern as the available capacity is unable to fully support the Committees of the House.

**Poor oversight by the Legislature resulting in lack of accountability by the Executive:** Tracking of Committee Performance (incl. areas related to Resolutions Management) are reported Quarterly to the SCoC Quarter Review Sessions. The tracking of Resolutions is a standardized item on the Committees Performance Reporting Templates, which are completed every Quarter and submitted to the Office of Chairpersons of Committees (OCOC). Furthermore, the tracking of Resolutions is emphasized with the Executive Departments by means of the Standardised Oversight and Accountability Reporting (SOAR-GP) templates that Departments complete and submit to Portfolio Committees. In addition, tracking of Resolutions are also a standardized item in the SOAR-GP Committee Oversight Reports that are tabled in the House.

**Inadequate support provided to Members to enable effective oversight on the implementation of and impact of laws passed:** Support for Members to initiate private Members Bills is always on hand from the Administration. The initiation of the Bills however is dependent on and remains the prerogative of the Members themselves.

**Ineffective co-operative governance processes within the Legislative Sector:** The implementation of the Strategy is ongoing and in Q2, the GPL has effectively implemented the Inter-Parliamentary Relations, Gauteng Speakers Forum, and Inter-Governmental Relations in line with the plan. Collaborations have culminated in the roll-out of projects with the youth to engage on discussions about unemployment as well as improving education, training, and innovation. Projects are also underway with the

## ii. [Executive Summary]

Department of Social Development in line with social protection and positioning of Gauteng through hosting of incoming delegations, CPA & CWP platforms, and Committee travel.

### **Summary of the requests for Intervention by the GPL/Department for the period under review – and what the Committee is doing / has done to address this**

The Committee noted that a number of Action Plans were identified to mitigate the identified risks and it will be monitored on a quarterly basis.

## iii. INTRODUCTION

The Committee oversight function plays a crucial role in the assessment of the GPL's key priorities to ensure improved performance and attainment of the set targets in the delivery of programmes. The report reflects both the financial and non-financial performance of the Gauteng Provincial Legislature for the end of the Fourth Quarter (i.e., January to March) against its annual budget and annual performance plans for the 2022/23 Financial Year.

The assessment of 4<sup>th</sup> Quarter Report for 2022/23 FY was compiled in line with the Sector Oversight Model (SOM) and in accordance with the Committee's Oversight and Accountability Framework (COVAC). The quarterly performance reports provide a committee with the information needed to monitor effective programme implementation and the performance assessment of these reports is measured against the pre-determined outcomes of Quarter 4 performance targets as outlined in the 2022/23 Annual Performance Plan.

#### iv. PROCESS FOLLOWED

- The Speaker, Hon. N Mekgwe referred the GPL's 4<sup>th</sup> Quarter Performance Report for the 2022/23 FY to the Committee for consideration and reporting.
- On Tuesday, 6<sup>th</sup> May 2023 the Committee Researcher, Mr. O Mogole presented the analysis of the 4<sup>th</sup> Quarter Performance Report.
- The GPL made a presentation to the Committee on Wednesday, 24<sup>th</sup> May 2023.
- The Committee deliberated and adopted its oversight report on the 4<sup>th</sup> Quarter Performance Report of GPL on Tuesday, 8<sup>th</sup> June 2023.

#### 1. OVERSIGHT ON GPL ACHIEVEMENT OF STRATEGIC PRIORITIES

##### 1.1 [GPL achievement on relevant National / Global Priorities for the Q under review]

*Committee's overall assessment of GPL achievement on relevant National / Global Priorities for the Q under review for the Q under Review*

The National Development Plan is a long-term perspective that aims to eliminate poverty and reduce inequality by 2030. These can be achieved through promoting partnerships throughout society. The GPL participates in both the National and Gauteng Speakers forums aimed at enhancing effectiveness of this institution in discharging its responsibilities aimed at improving service delivery.

## 1.1 [GPL achievement on relevant National / Global Priorities for the Q under review]

The Sustainable Development Goals were adopted as part of the 'Transforming our World: The 2030 Agenda for Sustainable Development' by 193 Member States of the United Nations on the 25<sup>th</sup> of September 2015.<sup>1</sup> These goals define long term development objectives that seek to establish more sustainable means of economic, environmental and social development. The goals are collectively referred to as Agenda 2030 which came into effect on 1 January 2016 and have a target date for attainment in 2030.

Agenda 2030 is ground-breaking in its vision as it advocates for a more inclusive, prosperous, and equal world. It seeks to address a plethora of developmental challenges that cut across three dimensions of economic, social, and environmental strata as outlined in the UN: *Sustainable Development Goals* booklet of 2016. Every country is duty-bound to implement these goals and are required to report back to the global community on their successes and challenges. There are 17 goals in total and are to be achieved in any of the member states of the UN.

The Agenda 2063 is a Pan-African strategic framework for socio-economic development in the continent. It calls for co-ordination and co-operation in mutually beneficial partnerships between regions as outlined in the African Union Booklet, 2015. This agenda is an ambitious vision and action plan intended to drive Africa's change, development, and transformation for the next 50 years.

Mangu (2014) argued that democracy and good political governance features prominently in the AU Constitutive Act and constitute the first thematic area under the African Peer Review Mechanism (APRM). This body adopted several instruments aimed at promoting democracy and good political governance amongst its member states. Democracy remains a contested notion of political

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<sup>1</sup> [www.un.org/sustainabledevelopment/development-agenda](http://www.un.org/sustainabledevelopment/development-agenda)

### 1.1 [GPL achievement on relevant National / Global Priorities for the Q under review]

theory as Hoffman (1988) mentioned. Depending on the scope of discussion on this contested terrain, there are two main conceptions of democracy, namely the minimalist and maximalist. The minimalist view of democracy was informed by two ideologies that have dominated the contemporary world that is capitalism and communism. Democracy is defined by specific political machinery of institutions, processes, and roles (Ronen, 1986).

The notion of institutional democracy is found in Robert Dahl's concept of polyarchy. In his thesis, polyarchy is a political order characterised by seven institutions. There should be elected officials, free and fair elections, inclusive suffrage, right to run for office, freedom of expression and access to information and associational autonomy (Dahl, 1971). As mentioned above, the objectives of the AU are *inter alia* to 'promote democratic principles and institutions, popular participation and good governance' Article 3(g). This body seeks to promote democracy and good governance through several instruments such as APRM, NEPAD, Human Rights, etc.

## 1.2 GAUTENG LEGISLATURE'S ACHIEVEMENT OF STRATEGIC PROVINCIAL PRIORITIES

### 1.2 [GPL achievement on relevant Provincial Priorities for the Q under review]

*Committee's overall assessment of Departmental achievement of specific and relevant Provincial Priorities for the Q under Review*

None

## 2. OVERSIGHT ON GPL'S FINANCIAL PERFORMANCE



<b>2. [GPL's Financial Performance for the period under review]</b>
<b>Overall Summary on GPL's Financial Performance</b>
<b><i>An overall Summary of whether the Committee thinks the GPL's Financial Performance is sound and prudent</i></b>
The Committee notes that the GPL allocated a total amount of R208.05 million and managed to spend R179.10 million which represent an 86.1% expenditure pattern under-spending by R28.94 million in the quarter under review.
<b>THE DETAILS ON GPL'S FINANCIAL PERFORMANCE</b>
<b>Actual amount (in Rands) allocated to the GPL as budget for this entire Financial Year</b>
R 825.163 million
<b>Actual amount projected by the GPL to be spent only during the Q under review</b>
R 208.05 million
<b>Actual amount (in Rands) spent by the GPL only during the Q under review</b>
R 179.10 million
<b>Total actual amount (in Rands) spent by the (Year to Date), i.e. from the beginning of this FY to the end of this Q under review</b>
R 739 924 million
<b>Percentage (% of total budget allocation) of budget expenditure for this Q under Review only</b>
89.1 %
<b>Percentage (% of total budget allocation) of budget expenditure (Year to Date), i.e. from the beginning of this FY to the end of this Q under review</b>
89.7%
<b>An analysis of how the % budget expenditure compares with the % APP achievement</b>

## **2. [GPL's Financial Performance for the period under review]**

In terms of the budget expenditure compared to APP achievement, a total amount of R 208.05 million was allocated for the quarter under review and R 179.10 million was spent, and the GPL reflects that out of the 20 targets planned, 15 were achieved, which constitutes a 75% target achievement.

### **If there was over / under-spending of greater than 3% of projection, what were the main challenges that led to the over / under spending**

The GPL recorded an under-spending of 13.9 % with Compensation of Employees under-spending by R2.1 million or 1.1% due to unfilled vacancies, outstanding 2021/22 pay-progression for P07 and P08 staff members; and lower than projected payments for 2021/22 pay-progression of P09 to P16 staff members, based on the outcome of the verification and audit of IPMS submissions and Portfolio of Evidence.

Goods and Services under-spent by R19 million or 20.3% mostly due to Committee activities, Gender Responsive Budgeting (GRB) on-site training in Italy trip postponed to April 2023, Communication and PPP Strategy, Chairperson of Committees' international study tour to Angola not conducted, Chairpersons of Committees' review session and Members Travel Benefit Fund (unallocated funds following verification and audit of the 2020/21 and 2021/22 travel for Political Parties).

Capital Assets under-spent by R7.9 million or 99.5% due to Audio-visual equipment for caucus rooms (tender validation date expired and the tender will be re-advertised; ICT strategy ( service provider has been appointed and CISCO equipment will be delivered in 2023/24); Generator (delayed delivery due to stock shortages in the country); New access card machine (delayed

**2. [GPL’s Financial Performance for the period under review]**

procurement processes; Deputy Speaker furniture and Hansard MacBooks (a service provider has been appointed and MacBooks will be delivered in 2023/24).

**Mitigating measures by the GPL to remedy over / under-expenditure**

The Committee reported that the following measures to prevent over/ under-expenditure have been put in place:

- Early warning reports are sent to Programmes and Committees month-end and mid-month to prompt spending in line with the projections for the month.
- Expenditure reports are sent to Programmes and Committees monthly to track progress against budget implementation.
- Projections are compared to actual expenditure - this tool also allows Programme Managers to identify gaps timeously and institute the corrective actions to achieve the identified objectives.
- All requisitions are released based on compliance with approved spending plans, relevant policies and Acts and availability of funds.
- Each Programme and Committees are allocated Budget Officers to improve financial management and to ensure continuous support and advise on financial matters; and
- Continuous review of the quarterly projections by Budget Owners and Programme Managers.

**The GPL’s achievement with respect to GEYODI responsive budgeting / procurement for the period under review**

None

**The GPL’s achievement with respect to township economy / SMME / local procurement for the period under review**

With respect to Township Economy, the GPL recorded over-achievement of 33 % against the 10% target.

**A summary for the period under review with respect to payment of service providers within 15-30 days**

**2. [GPL's Financial Performance for the period under review]**

The GPL processed 1040 payments during the quarter under review with 951 paid within 15 days, 43 paid a within 30 days and 46 paid after 30 days.

**A summary for the period under review with respect to fruitless, wasteful, and irregular expenditure**

There were no fruitless, wasteful, and irregular expenditure during the quarter under review.

**A summary for the period under review with respect to efficiency / value for money in all SCM / procurement processes**

The GPL undertook various activities to enhance compliance of the GPL with the relevant fiduciary requirements and principles of good governance. The GPL through the Supply Chain Management continued to monitor as well as report the fruitless, wasteful, and irregular expenditure throughout the quarter. Moreover, it provided support across the institution by strengthening the supply chain management operational processes to facilitate efficient and effective sourcing and supply of services.

**A summary for the period under review with respect to ongoing clean audits**

N/A

**A summary for the period under review with respect to spending on conditional grants (where applicable)**

None

**Program / Sub-Programme level financial performance**

The Committee notes that in terms of financial performance per programme, Programme 1: Leadership and Governance programme was allocated an amount of R14. 947 million and managed to spend R15.442 million (96.9 %) under-spending by R505 thousand or 3.4%. Compensation of Employees over-spent by R500 thousand or 9.6% due to payment of once-off non-pensionable cash of R25 thousand to bargaining unit staff members in December, in line with 2022/23 salary agreement between LEO and NEHAWU. The payment was made towards the GPL year- end closure and only posted in the fourth quarter. Goods and

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Services under-spent by R1 million or 10.3% due to the Chairperson of Committees (CoCs) international study tour to Angola that did not take place due to the identified host not accepting the invitation; CoCs review session not undertaken due to Committees undertaking their international travel and Stakeholder relations management not undertaken due to congested Legislature programme and conflicting priorities.

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The Office of the CFO has spent a total amount of R11.614 million (80.2%) against a budget allocation of R14.485 million, under-spending by R2.871 million (or 19.8%). Compensation of Employees over-spent by R3.8 million or 8.9% due to payment of once-off non-pensionable cash of R25 thousand to bargaining unit staff members in December, in line with 2022/23 salary agreement between LEO and NEHAWU. The payment was made towards the GPL year- end closure and only posted in the fourth quarter.

## 2. [GPL's Financial Performance for the period under review]

Goods and Services under-spent by R2.2 million or 61.6% as a result of Invoices still to be processed; Maintenance and repairs inventory delayed procurement processes; Art curator service provider not appointed due to non-responsive market; and Supplier Open Day not conducted due to competing GPL priorities such as the Opening of the Legislature.

Capital Assets under-spent by R1.6 million or 99.7% due to the delayed delivery of the generator due to stock shortages in the country, Deputy Speaker furniture; procurement of high back chairs which was suspended due to movement of staff from Sage to main building in line with the Space Optimisation project; and lower demand for heaters.

## 3. OVERSIGHT ON GPL'S NON-FINANCIAL PERFORMANCE

### 3. [GPL's Achievement of APP Targets]

#### Overall Summary on GPL's Non-Financial Performance

#### Number of APP targets relevant for this Quarter

20

#### Number of APP targets for this Quarter that have been achieved during this Quarter

5

#### Percentage of APP targets for this Quarter that have been achieved during this Quarter

75 %

#### Percentage of APP achievement for the previous Quarter (for Comparison)

62 %

<b>3. [GPL's Achievement of APP Targets]</b>
<b>Main areas in the APP that have experienced non-achievement or over-achievement during this Quarter</b>
The non-achievement is recorded regarding the Adoption of a Committee Inquiry Report; HR Strategy Implementation; Leadership Initiatives Implementation; ILR Strategy Implementation and Integrated Comms and PPP Strategy Implementation.
<b>Measures in place (with timeframes) to correct the deviation in targets for this Quarter and to prevent recurrence of such or similar deviation</b>
The GPL reported that the targets not achieved will be implemented in the next financial year
<b>A summarized analysis on the Department performance per Programme for the period under review</b>
<p><b><u>Leadership and Governance</u></b></p> <p>The purpose of Programme 1 is to provide overall strategic leadership and direction to the institution. For the quarter under review this Programme achieved 1 out of its 2 planned targets as one quarterly oversight report on the performance of the Committees was produced and submitted to the Chairperson of the Standing Committee of Chairpersons and plans are underway to ensure that the report is tabled before the Committee for deliberation and adoption. The Committee noted that the GPL did not achieve the 80% of targets in ILR strategy implementation plan as only 72% was recorded. It was reported that 8% of the planned outputs are dependent on sector activities such as the Gauteng Speaker's Forum.</p> <p>The Committee noted a seminar aiming to promote the ethical conduct that was not conducted in quarter 3 but was postponed to the 4<sup>th</sup> quarter due to service provider sourcing challenges was achieved. The workshop was held under the banner of the Gauteng Speaker Forum (GSF) and the Ethics Sub-Forum, and its purpose was to bring the Members of the Sub-Forum, and all interested</p>



### 3. [GPL's Achievement of APP Targets]

participants and stakeholders onboard with the programme of the GSF and the functions of the Ethics Sub-Forum. The workshop was facilitated by the Legislature's Integrity Commissioner, Ms Mashigo, who covered Ethics and Integrity Framework, ethical concepts, principles, and practices. A keynote speaker from the National Prosecuting Authority presented the Code of Conduct and the importance of financial disclosure.

#### **Office of the Secretary**

The Secretary's Office serves as the custodian for the development and implementation of the strategy and provides strategic, tactical, and operational Leadership to GPL administration for the achievement of the institutional mandate of oversight and scrutiny, law-making, public participation, and co-operative governance. For the quarter under review, this Programme had 2 planned performance targets which were both achieved. The Third Quarter Performance Information Report for 2022-23 financial year was compiled and submitted to the Executive Authority in line with the FMPLLA prescripts. The report was subsequently submitted and tabled to the oversight structures namely, the Secretariat, Audit and Risk Committee, Performance and Remuneration, and Legislature Services Board in the quarter under review.

In terms of the transversal mainstreaming training, three training sessions were undertaken with the Members of the Legislature and officials and the target was staff at Operations, Committee support, Management and Members. Additional to targets planned, the Programme held its periodic Secretariat and Extended Secretariat Committee meetings where senior management engaged on strategic as well as operational matters to track progress against the operations and the annual performance plans. To ensure compliance with the corporate governance and project governance, the Programme continued to provide oversight and support to respective stakeholders in a form of contract management, and project governance oversight.

### 3. [GPL's Achievement of APP Targets]

#### **Corporate Support Services (CSS).**

The purpose of the Corporate Support Services Programme is to give support to all internal stakeholders. This Programme contributes towards all the five strategic outcomes set out in the APP through the provision of human capital resources, support services to MPLs, safety and security services, as well as technological services to ensure that the MPLs can execute their constitutional mandates without failure.

The Committee noted that the Programme had four (4) planned performance targets for the quarter under review with 2 being achieved namely Percentage Implementation of Scheduled Member Training and the Percentage Achievement of Milestones in the ICT Strategy's Annual Implementation Plan. A total of six Members' training scheduled for the financial year, were achieved resulting in one hundred percent attainment of the annual training plan.

With respect to ICT Strategy implementation, the Programme has achieved 85% percent of the planned milestones as envisaged. The initiatives that attained one hundred percent of its target are digital legislature, records management, COBIT alignment, POPIA and PAIA compliance, e-Petitions, SAP asset and inventory management, and process modelling. Those initiatives that did not realise all the planned milestones, will be carried over to the next financial year, particularly the organisational design, project management, and the governance framework. The GPL reported the milestones of 2 performance targets not achieved namely Leadership initiatives' implementation plan and HR Strategy's implementation plan will be implemented in the next quarter/year???

#### **Core Business**

### **3. [GPL's Achievement of APP Targets]**

The purpose of the Core Business Programme is to provide comprehensive support to the House and its Committees to be able to advance the constitutional mandates of law-making, oversight and scrutiny over the work of the Executive, public participation, and co-operative governance. The Committee notes that 9 out of the 11 targets planned for this Programme in the quarter under review were achieved. The targets met includes adoption of SOM reports, consideration of House resolutions' responses, production of oversight question papers, Motions tabled in the House, laws passed, Bills processed, and approved Regulations, number of petitions considered by the Legislature and number of public education workshops conducted.

The 2 performance targets not achievement relates to adopted Committee Inquiry report and 90% implementation of Integrated Communications and PPP 5-Year Strategy's milestones. With respect to the 90% attainment of the 5-Year Integrated Strategy's Implementation Plan of Communications and PPP, 70% of the planned milestones was recorded due to delays in the internal processes to source service providers, unresponsiveness of the market for sourcing service providers, as well as cancellation of some activities due to competing political priorities.

#### **Office of the Chief Financial Officer (CFO)**

The purpose of the programme is to provide professional financial, risk and supply chain management services to the stakeholders for the realisation of the GPL's strategic goals and outcomes. The Committee notes that for the quarter under review there was only one performance target planned for reporting which relates to number of MTEF budgets tabled in line with the prescribed timeframes. The MTEF budget for 2023-24FY were compiled, tabled, and subsequently approved in line with the prescribed guidelines of FMPPLA.

### 3. [GPL's Achievement of APP Targets]

The Programme continued with engagements with Programmes and Committees of the House to monitor the 2022-23FY budget to ensure that spending plans are implemented accordingly and where challenges are experienced, they can be addressed and noted timeously. In this regard, monthly financial reports were developed and submitted to the Executive Authority as well as oversight structures for compliance as well as keeping them abreast with the financial health of the Institution.

Furthermore, through its audit, risk and governance function, the Programme continued to monitor the Institution's compliance with prescribed regulatory frameworks and internal controls. In this regard, monthly compliance as well as risk management reports were produced and tabled at management meetings to keep them abreast with matters requiring their urgent attention and continuous monitoring of their respective areas.

In terms of Preferential Procurement, the GPL achieved 68% against a target of 60% for Historically Disadvantaged Individuals (HDIs), PwDs achieved 1% against a revised target of 0.50 %, Youth 10% as per the target, Township Spent achieved 33 % against a target of 10% and Women achieved 34% against a target of 30%.

#### **Summarized information on any unplanned / emerging priorities reported on by the GPL during the period under review**

There were no unplanned emerging priorities during the quarter under review.

#### 4. OVERSIGHT ON RESOLUTION AND PETITIONS MANAGEMENT

##### 4.1 INFORMATION ON THE GPL'S IMPLEMENTATION OF HOUSE RESOLUTIONS

###### 4.1 [RESOLUTIONS MANAGEMENT]

*An overall Summary of the Committee's assessment of GPL's Resolutions Management*

The GPL has satisfactorily responded to all passed resolutions and the Committee will continue to monitor the performance of the GPL regarding the commitments made.

###### THE DETAILS ON GPL'S RESOLUTIONS MANAGEMENT

**How many Responses / Actions to Resolutions were due by the GPL during the Quarter under review**

**With respect to any and all Resolutions that were due in the Quarter under review, how many Resolutions have been successfully responded to by the GPL**

0

0

**What is the Committees perception of the Quality and Timeliness of GPL's responses to Committee Resolutions**

**With respect to the Resolutions / Action due during the Quarter under review but still overdue, what reasons have been provided by the GPL [with mitigating measures to submission]**

None

## 4.2 INFORMATION ON THE GPL'S IMPLEMENTATION OF PETITIONS REFERRED BY THE GPL

<b>4.2 [PETITIONS MANAGEMENT]</b>	
<b>Overall Summary on GPL's Petitions Management</b>	
<i>An overall Summary of the Committee's assessment of GPL'S Petitions Management</i>	
The GPL considered 31 petitions in the quarter under review.	
<b>THE DETAILS ON DEPARTMENTAL PETITIONS MANAGEMENT</b>	
<b>How many Responses / Actions to Petitions due by the GPL during the Quarter under review</b>	<b>With respect to any and all Petitions that were due in the Quarter under review, how many Petitions have been successfully responded to by the GPL</b>
None	None
<b>What is the Committees perception of the Quality and Timeliness of GPL's responses to referred Petitions</b>	
None	
<b>With respect to the Petitions / Action due during the Quarter under review but not yet responded to by the Department, what reasons have been provided by the GPL [with mitigating measures to submission]</b>	
None	

## 5. OVERSIGHT ON GPL'S PUBLIC ENGAGEMENT

<b>5. [Oversight on GPL's Public Engagement]</b>
<b>Overall Summary on Departmental Public Engagement</b>

*An overall Summary of the Committee's assessment of GPL's Public Engagements*

**THE DETAILS ON GPL'S PUBLIC ENGAGEMENTS**

**The steps / measures the GPL has taken to meaningfully involve the public / stakeholders in the course of its work / service delivery, during the period under review**

The GPL engaged its stakeholders through the implementation of the Inter-Legislature Relations as well as the Integrated Communications and Public Participation and Petitions Strategies. In the quarter under review, the quarterly session of Gauteng Speakers Forum was convened under the theme "Collaboration with Organs of the State. The meeting was attended by Speakers of Municipal Councils, Office of the Premier of Gauteng Province, the Provincial Electoral Officer of the Independent Elections Commission, Department of Home Affairs: Gauteng Province; and a foundation named Growing Without a Father.

The GPL participated in the Legislature Support Services (LSS) task team meetings, Secretaries Forum executive committee meeting, and the legislatures' collective bargaining council. The Legislature hosted delegations from the Parliament of Uganda and the North-West Legislature to share best practices in the legislative sector. Outgoing benchmarking exercises, study tours, and summit or conferences were conducted and attended with the National Assembly of Seychelles, India, New Delhi Parliament, 67th Commission on the Status of Women in New York, and World Police Summit in Dubai.

**Summary of Public Education programmes of the GPL during the period under review**

Thirty (33) public education workshops were conducted in the quarter under review which were attributed to the school readiness workshops, public education workshops, and the preparatory workshops for the Sector Parliament of People Living with Disabilities, although the Sector Parliament did not take place as planned.

<b>Feedback sessions conducted by the Department during the period under review</b>
None

**6. OVERSIGHT ON GPL'S MPLEMENTATION OF LAWS**

<b>6. [GPL IMPLEMENTATION OF LAWS (Specifically relevant to the Portfolio of this Department)]</b>
<i>Overall Summary on GPL's implementation of relevant (portfolio specific) Laws / Legislation</i>
The GPL continued to comply with the prescripts of the Financial Management of Parliament and Provincial Legislatures Act (FMPPLA).

**7. OVERSIGHT ON DEPARTMENTAL IMPLEMENTATION OF INTERNATIONAL AGREEMENTS / TREATIES**

<b>7. [DEPARTMENTAL IMPLEMENTATION OF INTERNATIONAL AGREEMENTS / TREATIES]</b>
<i>Overall Summary on Departmental implementation of relevant Internal Agreements / Treaties [Only if applicable]</i>
None

**8. OVERSIGHT ON GPL'S PROJECT MANAGEMENT**

<b>8. [GPL'S PROJECT MANAGEMENT]</b>
<i>Overall Summary on management and delivery of Institutional Projects</i>
The GPL reported that the following were projects scheduled for the quarter review: <b>Space Optimisation Project:</b> The project is completed, and the feasibility report was submitted to Accounting Officer.



## 8. [GPL'S PROJECT MANAGEMENT]

**Upgrade of Aged Infrastructure: Roof Covering, Structure and Waterproofing (Phase 1 and 2: Rehabilitation of Tiled Portion – Main Building) Project:** Construction contract tendering is completed.

**Money Bills Amendment Procedure and Related Matters Bill Project:** A final report was submitted and tabled at Project Steering Committee.

**Records Management Project:** The Change Management Framework and Strategy and Plan is completed, and other milestones are in progress.

## 9. OVERSIGHT ON GPL'S ACHIEVEMENT ON GEYODI EMPOWERMENT IN COMMUNITIES

### 9. GEYODI EMPOWERMENT

*Overall Summary on Institution's achievement on actual GEYODI empowerment in communities*

None reported.

## 10. OVERSIGHT ON GPL'S COMPLIANCE AND QUALITY

### 10. [INSTITUTION'S COMPLIANCE AND QUALITY]

*Overall Summary on GPL's Compliance and Quality*

The GPL submitted the quarterly report on time, and it is in line with the reporting requirements of 30 days after the end of the quarter and legal parameters: submission was in compliance with FMPPLA, PFMA and other relevant Legislation and Regulations.

## 10. [INSTITUTION'S COMPLIANCE AND QUALITY]

### THE DETAILS ON GPL'S COMPLIANCE, QUALITY AND TIMELINESS OF RESPONSES TO REQUESTS FOR INFORMATION

<b>Auditor General (AGSA)</b>	None
<b>Public Service Commission (PSC)</b>	None
<b>Compliance with relevant fiduciary Legislation [e.g. PFMA]</b>	The GPL has undertaken various activities to enhance compliance with relevant fiduciary requirements and principles of good governance through the maintenance of a clean audit for the GPL.

## 11. OVERSIGHT ON ANY OTHER COMMITTEE FOCUS AREA

### 11. [ANY OTHER COMMITTEE FOCUS AREA] (Only relevant if deemed necessary)

*Any other area of Departmental performance with respect to its Quarter Report that the Committee wishes to report on, which is not already included in any of the above Focus Areas.*

None.

## 12. OVERSIGHT ON A CAPACITATED PUBLIC SERVICE AND GOOD GOVERNANCE

### 12.1 [A CAPACITATED DEPARTMENT]

**Overall Summary on a capacitated institution and Good Governance**

**12.1 [A CAPACITATED DEPARTMENT]**

*An overall Summary of whether the Committee thinks the GPL is adequately capacitated and resourced to carry out its functions and discharge its mandates*

17 bursaries were awarded in the quarter under review.

**THE DETAILS ON A CAPACITATED DEPARTMENT**

*Information on the current Departmental Structure and level of implementation thereof*

**Detailed information on the current vacancies (at all staff levels)**

Not reported

**Current acting positions (at all Staff levels)**

Not reported

**Terminations during the period under review**

0

**New appointments during the period under review**

Critical positions: 0

Interns: 5

**Detailed information on the GEYODI / HDI empowerment for the period under review**

None

**Detailed information on any suspensions for the period under review**

0

## 12.1 OVERSIGHT ON GOOD GOVERNANCE

### Overall Summary on Good Governance processes at the GPL

*An overall Summary of whether the Committee thinks the GPL is adequately governed and thus able to carry out its functions and discharge its mandates*

The GPL appointed a task team to review the Stakeholder Management Framework to ensure that the framework is responsive to the current priorities of the Legislature and stakeholders are appropriately involved. It was decided to incorporate this strategy into the 5-Year Integrated Communications and Public Participation and Petitions Strategy to ensure alignment and co-ordinated effort towards stakeholder management. The GPL engages with stakeholders through the implementation of the Inter-Legislature Relations as well as the Integrated Communications and Public Participation and Petitions Strategies.

## 13. OVERSIGHT ON POSITIVE OUTCOMES OF GPL ACTIVITIES

### 13. [Summarized information on any positive outcomes of Institutional activities during the period under review]

The GPL continued to operate its business virtually for most of its services and activities.

## 14. FINDINGS, CONCERNS and RECOMMENDATIONS

### 14.1 COMMITTEE FINDINGS / CONCERNS (on each specific Focus Area analysed above)

14.1.1 The Committee noted with concern the underspending against 4<sup>th</sup> quarter and annual expenditure that suggests the need for improved budget planning, and better alignment between performance and budgeting.

### 14.2.1 [COMMITTEE RECOMMENDATIONS]

Based on the information set out herein-above as well as the Committee Concerns, the Committee therefore recommends as follows:

Ref Number	Recommendation	Type of response expected	Due Date
CHS/QXPR/001	<p>14.2.1 The GPL should provide the Committee with answers as to what will be the impact of the underspending against 4<sup>th</sup> quarter and annual expenditure in achieving its objectives and what plans are in place to improve budget planning and alignment.</p> <p>14.2.2 The GPL should provide the Committee with plans to ensure improvement on the spending for capital assets, given that less than 0.5% of the available budget was spent.</p>	Written responses	Monday, 31 <sup>st</sup> July 2023

### 15. ACKNOWLEDGEMENTS

The Committee hereby thanks and acknowledges the co-operation of the Speaker of Gauteng Provincial Legislature, Hon. NL Mekgwe, Provincial Secretary Mr P Skosana and the Senior Management team during this process.

I would like to thank the following Members of the Committee: Hon. LE Makhubela, Hon. F Hassan, Hon. W Matsheke, Hon. DC Ledwaba, Hon. P Malema; Hon. ST Msimanga, Hon. JB Bloom, Hon. A Fuchs, Hon. P Makwala, Hon. L Masilela, Hon. A Alberts and Hon. DK Adams.

The Committee would like to thank the Group Committee Co-ordinator, Ms. M. Vaas; Senior Committee Co-ordinators, Ms. N. Montisi and Ms. B. Makgato; Committee Researcher, Mr. O. Mogole and Senior Researcher Ms N Dlamini, Senior Information Officer, Ms. A. Netshivhuyu, Committee Administrators, Mr. X Sithole and Ms E. Sonny; Hansard Recorder, Ms N Zondo and Ms R Singh, Service Officer; Ms M. Katsi and Communication Officer, Mr S Simelane for their commitment during the process.

## **16. ADOPTION**

After due consideration the Committee unanimously adopted its report on the 4<sup>th</sup> Quarter Performance Report of GPL for 2022/2023 FY. In terms Rule 164 the Committee presents to this House and recommends the adoption of the Report.