No.100 - 2022: Fourth Session, Sixth Legislature

**GAUTENG PROVINCIAL LEGISLATURE**

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**ANNOUNCEMENTS,**

**TABLINGS AND**

**COMMITTEE REPORTS**

**========================**

Tuesday, 22 March 2022

# ANNOUNCEMENTS

none

# TABLINGS

none

**COMMITTEE REPORTS**

1. The Chairperson of the Oversight Committee on the Office of the Premier and the Legislature (OCPOL), Hon. B W Dhlamini, tabled the Committee’s Oversight Report on the Third Quarterly Performance Report of the Gauteng Provincial Legislature (GPL) for the 2021/2022 financial year, as attached

**COMMITTEES QUARTER OVERSIGHT REPORT ON DEPARTMENTAL PERFORMANCE**

**OVERSIGHT COMMITTEE ON THE OFFICE OF THE PREMIER’S OFFICE AND THE LEGISLATURE (OCPOL)**

| **Committee Details** | | **Department Details** | |
| --- | --- | --- | --- |
| **Name of Committee** | **OCPOL** | **Name of Department** | **GPL** |
| **Which Financial Year** | **2021/22** | **Dept. Budget Vote Nr.** | **3** |
| **Which Quarter** | **3rd** | **Hon. Minister / MEC** | **N Mekgwe** |
| **Committee Approvals** | | | |
|  | **Name** | | **Date Approved by Chairperson** |
| **Hon. Chairperson** | **BW Dhlamini** | | **Friday, 11th March 2022** |
| **Adoption and Tabling** | | | |
| **Date of Final Adoption by Committee** | | | **Scheduled date of House Tabling** |
| **Friday, 11th March 2022** | | | **Thursday 24th March 2022** |

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# ABBREVIATIONS

| **Abbreviation** | **Full Wording** |
| --- | --- |
| APP | Annual Performance Plan |
| COBIT | Control Objectives for Information and Related Technologies |
| FAMLA | Financial Management Act of the Gauteng Provincial Legislature |
| GPL | Gauteng Provincial Legislature |
| HDIs | Historically Disadvantage Individuals |
| ICT | Information Communication Technology |
| ILR | Inter-Legislature Relations |
| MPLs | Members of the Provincial Legislature |
| NDP | National Development Plan |
| OD | Organisational Development |
| OPP | Operational Performance Plan |
| PwDs | Persons living with Disabilities |
| SDGs | Sustainable Development Goals |
| SoCATT | Society of Clerks at the Table |
| SOM | Sector Oversight Model |
| PSC | Petitions Standing Committee |
| PPP | Petitions and Public Participation |

# EXECUTIVE SUMMARY

| **ii. [Executive Summary]** |
| --- |
| **Summary of GPL Achievements for the quarter under review** |
| The Committee noted that the GPL had set a total of 19 performance targets for the 3rd quarter of 2021/22 FY out of which 11 were achieved and 8 were not achieved. The performance target not achieved relates to Percentage implementation of Leadership Implementation Plan, Number of transversal mainstreaming capacity development sessions, Number of initiatives undertaken to promote the ethical conduct – seminar , Percentage of House resolutions’ responses considered by the Committees, Number of Motions adopted by the House, Number of petitions considered by the Legislature, Number of people reached via digital social media platforms and Percentage achievement of Comms & PPP 5-Year Strategy Implementation Plan.  With respect to performance by Strategic Outcomes ,Strategic Outcome 5, Enhanced Compliance with Relevant Fiduciary Requirements and Principles of Good Governance accounted for 40%, Strategic Outcome 1 :Enhanced Oversight and Accountability Towards Service Delivery accounted for 26 %,11 % was for both the Strategic Outcome 2, Increased Responsiveness of Laws to meet the Needs of the People of Gauteng, and Strategic Outcome 3, Enhanced meaningful Public Participation, and Strategic Outcome 4,Improved alignment, and collaboration between organs of state accounted for only 5%.  With respect to the filling of vacant posts, the Committee noted that the issue is continuing in the quarter under review taking into consideration the budget under-spending under Compensation Employees. The GPL reported that the delay is as result of the organisation embarking on a review of the organisational structure to assess fit for purpose and this necessitated a decision to place a moratorium on the filling of vacancies except critical ones that are essential for delivery of services. Furthermore, the GPL stated that 6 positions (5 Senior Management and 1 Senior Administrator) where filled during the quarter under review.  For the quarter under review, the Committee noted that the Petitions Standing Committee tabled and considered twenty ( 20) petitions and thirteen (13) were adopted while 1 (one) was deferred, pending finalisation of further investigation, and the remaining 6 were rejected. With regard to BBBEEE targets, the GPL is commended for recording over achievement of 73.06 % for Historically Disadvantaged Individuals (HDIs) against the 60 % planned target, People with Disabilities (PwDs), 2.21 % against a target of 0.2%, Youth achieved 22.24 % against a target of 10 % and Township Economy 55.96 % achievement against the 10% target %. However, the Committee noted that the GPL recorded under achievement on the target for Women at 22.82 % against 30% target during the quarter under review.  The Committee noted that there are various main strategic risks forecast by GPL since the beginning of the Sixth Legislature and that there are measures in place to manage them amongst others, the poor oversight by the Legislature resulting in lack of accountability by the Executive, inadequate support provided to Members to enable effective oversight on the implementation of and impact of laws passed, lack of business continuity , poor records management and ineffective public participation in the Legislature business. The Committee will continuously monitor the risks identified on a quarterly basis.  The Committee noted that in terms of financial performance, the GPL received a total amount of R716.4 million for the 2021/22 financial year and allocated  R180.63 million for the quarter under review. The GPL recorded under-spending of R28.1 million or 16%. Leadership and Governance programme has spent  R6.2 million or 67%, under-spending by R3 million or 33%**.** The Office of the Secretary has spent a total amount of R6.9 million or 98% and underspending  by R143 thousand or 2%. Corporate Support Services has spent R72.3 million or 87%, which translates to under-spending of R10.8 million or 13%.  Core Business has spent an amount of R56.5 million or 83%, under-spending by R11.4 million or 17%. The Office of the CFO has spent a total amount of  R10.7 million or 79%, under-spending by R2.8 million or 21%. The GPL’s expenditure is not in line with Treasury Regulations which indicates that the  Departments and GPL must spend 75% of their budgets in each quarter. |
| **Summary of the main strategic risks forecast by the Institution during the period under review – and measures in place to manage them** |
| |  | | --- | | In terms of strategic risk forecast and measures in place to manage them:  **Poor oversight by the Legislature resulting in lack of accountability by the Executive:** To mitigate this riskthe Implementation of SOM and other oversight tools takes place quarterly. All the SOM imperatives due for the reporting period were achieved. This includes the 2nd Quarter OversightReports, Annual Oversight Reports (inclusive of scrutiny of Auditor-General’s Report and adoption of SCOPA Oversight reports), Provincial Appropriation Adjustment Bill and Focused Intervention Study Reports. The processing of these reports has an impact on enhancing oversight and accountability of the Executive in implementing provincial priorities. |   **Inadequate support provided to Members to enable effective oversight on the implementation of and impact of laws passed:** Committees are monitoring the implementation of recommendations through their quarterly and continuous oversight over the Departments (Executive). Standardized reporting  templates developed make it imperative for Departments to report on this information quarterly.  **Ineffective public participation on the Legislature business:** Capacity challenges within PPP is now a high risk which could lend the institution in court for not adhering to the requirements in other areas such as law-making. There has been interim measures proposed but with the non-implementation of such before the end of Q3, GPL will face a challenge in providing support to Committees for the remainder of the work with the outstanding Committees’ public hearings planned for Q4. PPP is not able to provide optimal support to Committee because there is no one that is dedicated to a particular committee.  **Ineffective co-operative governance processes within the Legislative Sector:** The adopted strategy is currently being implemented. Progress on implementation is being tracked and reported monthly through Operational Performance Plan.  **Non-adherence to regulatory environment and mandate of the GPL**: A workshop to develop the 2021-22 audit strategy in line with the prior year audit findings and any emerging accounting standards was be held on 20 and 21 September 2021. The strategy with action plans and defined timeframes has been developed and was shared with all key stakeholders for implementation and monitoring on a monthly basis.  **Lack of business continuity:** All positions have been filled except for 2 which are currently being finalized. The plan is to conclude on the two  positions by 31st December 2021. The service provider contract was extended until 31 December 2021 to finalise the outstanding contracts**.**  **Non-achievement of strategic goals due to inadequate funding:** The cost efficiency measures are being implemented as part of daily budget approvals and also during the budget development process.  **Poor records management:** The GPL PAIA Manual was approved. A project team has been set up to guide the implementation of PAIA manual. The  implementation plan has been developed and the project roll-out has commenced and is being tracked for progress. The memo for records transfer to Provincial Archives has been approved. No transfer to provincial archives due to capacity challenges. All the work will be conducted under the TOR for the records management project. The migration of documents from the file servers to SharePoint is 35%. The migration is expected to be completed in Q3. The new file structure will be created in SharePoint in Q4 by ICT. The records management project will appoint a vendor to manage the migration to the new file structure**.** |
| **Summary of the requests for Intervention by the Department for the period under review – and what the Committee is doing / has done to address this** |
| The Committee will continuously monitor the risks identified on a quarterly basis. |
| **Summary of the undertakings / Commitments by GPL / Department to address oversight findings of the Committee requiring attention** |
| The Committee will continuously monitor the oversight findings on a quarterly basis. |

# INTRODUCTION

1. The main objective for oversight on quarterly reports is to assess progress against budget and strategic plan and obtain insight into departmental performance (financial and non-financial) against objectives. The Sector Oversight Model serves as a guide in analysing this 3rd quarter performance report.
2. Quarter performance reports provide a Committee with the information needed to monitor effective programme implementation and the performance assessment of these reports is measured against the predetermined outcomes of Quarter 3 performance targets as outlined in the 2021/22 Annual Performance Plan.

# PROCESS FOLLOWED

* The Deputy Speaker, Hon. N Mhlakaza - Manamela referred the GPL’s 3rd Quarter Performance Report for the 2021/22 FY to the Committee for consideration and reporting on the 1st February 2022.
* On Thursday, 10th February 2022 the Committee Researcher, Mr. O Mogole presented an analysis of the 3rd Quarter Performance Report and the GPL made a presentation to the Committee on Monday, 28th February 2022.
* The Committee deliberated and adopted its oversight report on the 3rd Quarter Performance Report of GPL on Friday, 11th March 2022.

# OVERSIGHT ON GPL ACHIEVEMENT OF STRATEGIC PRIORITIES

## GPL ACHIEVEMENT OF STRATEGIC NATIONAL / GLOBAL PRIORITIES

| **1.1 [GPL achievement on relevant National / Global Priorities for the Q under review]** |
| --- |
| *Committee’s overall assessment of GPL achievement on relevant National / Global Priorities for the Q under review for the Q under Review* |
| The National Development Plan is a long-term framework that aims to eliminate poverty and reduce inequality by 2030. These can be achieved through promoting partnerships throughout society. However, the 3rd Quarter Report of the GPL did not reflect its contribution to the above priorities.  The Sustainable Development Goals were adopted as part of the ‘Transforming our World: The 2030 Agenda for Sustainable Development’ by 193 Member States of the United Nations on the 25th September 2015.[[1]](#footnote-1) These goals define long term development objectives that seek to establish more sustainable means of economic, environmental and social development. The goals are collectively referred to as Agenda 2030 which came into effect on 1 January 2016 and have a target date for attainment in 2030.  Agenda 2030 is ground-breaking in its vision as it advocates for a more inclusive, prosperous, and equal world. It seeks to address a plethora of developmental challenges that cut across three dimensions of economic, social, and environmental strata as outlined in the UN: *Sustainable Development Goals* booklet of 2016. Every country is duty-bound to implement these goals and are required to report back to the global community on their successes and challenges. There are 17 goals in total and are to be achieved in any of the member states of the UN.  The Agenda 2063 is a Pan-African strategic framework for socio-economic development in the continent. It calls for co-ordination and co-operation in mutually beneficial partnerships between regions as outlined in the African Union Booklet, 2015. This agenda is an ambitious vision and action plan intended to drive Africa's change, development, and transformation for the next 50 years.  Mangu (2014) argued that democracy and good political governance features prominently in the AU Constitutive Act and constitute the first thematic area under the African Peer Review Mechanism (APRM). This body adopted several instruments aimed at promoting democracy and good political governance amongst its member states. Democracy remains a contested notion of political theory as Hoffman (1988) mentioned. Depending on the scope of discussion on this contested terrain, there are two main conceptions of democracy, namely the minimalist and maximalist. The minimalist view of democracy was informed by two ideologies that have dominated the contemporary world that is capitalism and communism. Democracy is defined by specific political machinery of institutions, processes, and roles (Ronen, 1986). The notion of institutional democracy is found in Robert Dahl’s concept of polyarchy. In his thesis, polyarchy is a political order characterised by seven institutions. There should be elected officials, free and fair elections, inclusive suffrage, right to run for office, freedom of expression and access to information and associational autonomy (Dahl, 1971). As mentioned above, the objectives of the AU are *inter alia* to ‘promote democratic principles and institutions, popular participation and good governance’ Article 3(g). This body seeks to promote democracy and good governance through several instruments such as APRM, NEPAD, Human Rights, etc. |

## 1.2 GAUTENG LEGISLATURE’S ACHIEVEMENT OF STRATEGIC PROVINCIAL PRIORITIES

| **1.2 [GPL achievement on relevant Provincial Priorities for the Q under review]** |
| --- |
| *Committee’s overall assessment of Departmental achievement of specific and relevant Provincial Priorities for the Q under Review* |
| None |

# 2. OVERSIGHT ON GPL’S FINANCIAL PERFORMANCE

| **2. [GPL’s Financial Performance for the period under review]** |
| --- |
|  |
| **Overall Summary on GPL’s Financial Performance** |
| ***An overall Summary of whether the Committee thinks the GPL’s Financial Performance is sound and prudent*** |
| In terms of the budget expenditure performance, the Committee noted that the GPL allocated a total amount of R180.63 million and recorded an under-spending of R28.1million or 16%.Compensation of Employees under-spent by R1.8 million or 2% due to unfilled vacancies, Goods and Services under-Apent by R24.2 million or 37% and Capital assets under-spent by R2.2 million or 100% due to delays in the procurement of staff replacement laptops. |
| **THE DETAILS ON GPL’S FINANCIAL PERFORMANCE** |
| **Actual amount (in Rands) allocated to the GPL as budget for this entire Financial Year** |
| R791 064million |
| **Actual amount projected by the GPL to be spent only during the Q under review** |
| R180.63 million |
| **Actual amount (in Rands) spent by the GPL only during the Q under review** |
| R152.49 million |
| **Total actual amount (in Rands) spent by the (Year to Date), i.e. from the beginning of this FY to the end of this Q under review** |
| R529.5 million |
| **Percentage (% of total budget allocation) of budget expenditure for this Q under Review only** |
| 84 % |
| **Percentage (% of total budget allocation) of budget expenditure (Year to Date), i.e. from the beginning of this FY to the end of this Q under review** |
| 67 % |
| **An analysis of how the % budget expenditure compares with the % APP achievement** |
| The Committee noted that the GPL achieved 11 out of 19 (58%) planned targets and in terms of the budget expenditure performance a total amount of R180.63 million was allocated for the quarter under review and R152.49 million (84%) was spent with an under-spending of R28.14 million. |
| **If there was over / under-spending of greater than 3% of projection, what were the main challenges that led to the over / under spending** |
| Under-spending was recoded under Compensation of Employees by R1.8 million or 2% due to unfilled vacancies and Goods and Services under-spent by R24.2 million or  37%. |
| **Mitigating measures by the GPL to remedy over / under-expenditure** |
| The Committee noted that the following measures to prevent over/ under-expenditure have been put in place:   * Early warning reports are sent to Programmes and Committees month-end and mid-month to prompt spending in line with the projections for the month. * Expenditure reports are sent to Programmes and Committees monthly to track progress against budget implementation. * Projections are compared to actual expenditure - this tool also allows Programme Managers to identify gaps timeously and institute the necessary plans to achieve the identified objectives. * All requisitions are released based on compliance with approved spending plans, relevant policies and Acts and availability of funds. * Each Programme and Committees are allocated Budget Officers to improve financial management and to ensure continuous support and advise on financial matters; and * Continuous review of the quarterly projections by the Programme Managers. |
| **The GPL’s achievement with respect to GEYODI responsive budgeting / procurement for the period under review** |
| With regard to BBBEEE, the GPL achieved 73.06 % for Historically Disadvantaged Individuals (HDIs) against 60 % planned target, Women 22.82 % against 30% target, People with Disabilities (PwDs) 2.21 % against a target of 0.2%and Youth achieved 22.24 % against a target of 10 %. |
| **The GPL’s achievement with respect to township economy / SMME / local procurement for the period under review** |
| With respect to Township Economy, the GPL recorded 55.96 % achievement against the 10% target. |
| **A summary for the period under review with respect to payment of service providers within 15-30 days** |
| The GPL reported that it continuous to pay service providers within 30 days. |
| **A summary for the period under review with respect to fruitless, wasteful, and irregular expenditure** |
| There was continuous monitoring of fruitless, wasteful, and irregular expenditure throughout the Quarter 3 and no anomalies were detected. |
| **A summary for the period under review with respect to efficiency / value for money in all SCM / procurement processes** |
| The GPL reported efficient procurement of goods and services in the most economical manner that aligns with preferential procurement targets. |
| **A summary for the period under review with respect to ongoing clean audits** |
| N/A for the quarter under review |
| **A summary for the period under review with respect to spending on conditional grants (where applicable)** |
| None |
| **Program / Sub-Programme level financial performance** |
| In terms of financial performance, the Committee noted that the Leadership and Governance programme has spent R6.2 million or 67% of its allocation for the quarter under review under-spending by R3 million or 33%. The Office of the Secretary has spent a total amount of R6.9 million or 98% under-spending by R143 thousand or 2%. Corporate Support Services has spent R72.3 million or 87% under-spending by R10.8 million or 13%. Core Business has spent an amount of R56.5 million or 83% under-spending by R11.4 million or 17%. The Office of the CFO has spent a total amount of R10.7 million or 79% an under-spending by R2.8 million or 21% .The GPL’s expenditure is not in line with Treasury Regulations which indicates that Departments must spend 75% of their budgets in each quarter. |

# OVERSIGHT ON GPL’S NON-FINANCIAL PERFORMANCE

| **3. [GPL’s Achievement of APP Targets]** |
| --- |
| **Overall Summary on GPL’s Non-Financial Performance** |
| **Number of APP targets relevant for this Quarter** |
| 19 |
| **Number of APP targets for this Quarter that have been achieved during this Quarter** |
| 11 |
| **Percentage of APP targets for this Quarter that have been achieved during this Quarter** |
| 58 % |
| **Percentage of APP achievement for the previous Quarter (for Comparison)** |
| 63 % |
| **Main areas in the APP that have experienced non-achievement or over achievement during this Quarter – Executive summary** |
| A total of eight (8) performance targets were not achieved in this quarter which relates to consideration of responses to resolutions by Committees, Initiatives to improve ethical conduct as well as the leadership and development to improve ethical conduct, Transversal mainstreaming training initiatives, Responses to Resolutions by Committees, Motions adopted by the House, Petitions considered by the Legislature, People reached through digital platforms and Implementation of the Communications and PPP Strategy. |
| **Measures in place (with timeframes) to correct the deviation in targets for this Quarter and to prevent recurrence of such or similar deviation** |
| The GPL has committed to ensure that all planned targets are met within the timeframes. |
| **A summarized analysis on the Department performance per Programme for the period under review** |
| **Leadership and Governance**  The purpose of Programme 1 is to provide the overall strategic leadership and direction to the institution. The Committee noted that of the 3 planned targets for the quarter under review only 2 were achieved. The non-achievement is recorded on the hosting of an ethics seminar to promote ethical conduct. The GPL reported that the seminar is earmarked to take place in the next quarter owing to the availability of speakers and participants following the conclusion of the local government elections that was followed by the configuration of the municipal councils in November and December.  **Office of the Secretary**  The Secretary’s Office serves as the custodian of the development and implementation of the strategy and provides strategic, tactical and operational Leadership to GPL administration for the achievement of the institutional mandate of oversight and scrutiny, law-making, public participation and co-operative governance. The Committee noted that of the three planned performance targets in the quarter under review, only one is achieved namely, number of compliance performance information progress reports on the APP.  The two performance targets that were not achieved relates to the Leadership Initiative Implementation Plan and Transversal Mainstreaming Training. The GPL reported that the Leadership Initiative has been reconceptualised and the concept paper was presented and approved by the Planning Committee in October 2021 and the transversal mainstreaming training have been rescheduled to the Fourth Quarter due to competing priorities.  **Corporate Support Services (CSS)**  The purpose of the Corporate Support Services is to give support to all internal stakeholders**.** The Committee noted that there were three planned performance targets namely, 35% implementation of scheduled member training, 60% achievement of milestones in the ICT Strategy’s annual implementation plan, and the development and approval of a Human Resource Strategy and Implementation Plan which were all achieved in the quarter under review.  **Core Business**  The purpose of the Core Business Programme is to provide comprehensive support to the House and its Committees to be able to advance the constitutional mandates of law-making, oversight and scrutiny over the work of the Executive, public participation, and co-operative governance. The Committee noted that this Programme achieved four out of the nine planned performance targets. The five performance targets that were not achieved are ninety-five percent of  resolutions’ responses considered by Committees, number of Motions adopted by the House, number of petitions considered by the Legislature, two-hundred thousand people reached through digital platforms, and implementation of the Comms and PPP 5-Year Strategy.  During deliberations, the GPL reported that it attained 44% of 95% of resolutions responses as some of the Committees of the House are not considering or reporting on the resolutions considered. Continuous engagements are ongoing with the Chairperson of Committees to increase the performance under the indicator. In terms of Motions adopted by the House, the GPL indicated that this target is demand driven and depends on the submission of Motions by the MPLs. In terms of Petitions considered, the GPL the indicated it was on recess during the month of October 2021 which affected the Term Programme of the Legislature. This had an impact on the Committee Term Programme, which meant that the committee could only consider a limited number of petitions during the period under review resulting in a deviation of 9.  **Office of the Chief Financial Officer (CFO)**  The purpose of the programme is to provide professional financial, risk and supply chain management services to the stakeholders for the realisation of the GPL’s strategic goals and outcomes. The Committee noted that the Programme had 1 planned performance target namely, 75% Fraud Risk Management (FRM) initiatives implemented, and it was achieved as well as realising the milestones from the previous quarter that were not achieved. In this respect, fraud awareness to the GPL community through the internal communication was raised, the Risk Management Committee meeting convened, as well as direct engagement with staff through programme management and staff meetings. |
| **Summarized information on any unplanned / emerging priorities reported on by the GPL during the period under review** |
| There were no unplanned emerging priorities during the quarter under review. |

# OVERSIGHT ON RESOLUTION AND PETITIONS MANAGEMENT

# INFORMATION ON THE GPL’S IMPLEMENTATION OF HOUSE RESOLUTIONS FOR THE PERIOD UNDER REVIEW

| **4.1 [RESOLUTIONS MANAGEMENT]** | |
| --- | --- |
| **Overall Summary on GPL’s Resolutions Management** | |
| *An overall Summary of the Committee’s assessment of GPL’s Resolutions Management* | |
| The GPL has satisfactorily responded to all passed resolutions however, the Committee will continue to monitor the performance of the GPL regarding the commitments made. | |
| **THE DETAILS ON GPL’S RESOLUTIONS MANAGEMENT** | |
| **How many Responses / Actions to Resolutions were due by the GPL during the Quarter under review** | **With respect to any and all Resolutions that were due in the Quarter under review, how many Resolutions have been successfully responded to by the GPL** |
| 3 | 3 |
| **What is the Committees perception of the Quality and Timeliness of GPL’s responses to Committee Resolutions** | |
| Satisfactory | |
| **With respect to the Resolutions / Action due during the Quarter under review but still overdue, what reasons have been provided by the GPL [with mitigating measures to submission]** | |
| None | |

# INFORMATION ON THE GPL’S IMPLEMENTATION OF PETITIONS REFERRED BY THE GPL

| **4.2 [PETITIONS MANAGEMENT]** | |
| --- | --- |
| **Overall Summary on GPL’s Petitions Management** | |
| *An overall Summary of the Committee’s assessment of GPL’S Petitions Management* | |
| The Committee noted of the Petition Standing Committee tabled and considered twenty (20) petitions during the quarter under review and thirteen (13) were adopted while 1 was deferred, pending finalisation of further investigation, and the remaining 6 were rejected. | |
| **THE DETAILS ON DEPARTMENTAL PETITIONS MANAGEMENT** | |
| **How many Responses / Actions to Petitions due by the GPL during the Quarter under review** | **With respect to any and all Petitions that were due in the Quarter under review, how many Petitions have been successfully responded to by the GPL** |
| None | None |
| **What is the Committees perception of the Quality and Timeliness of GPL’s responses to referred Petitions** | |
| None | |
| **With respect to the Petitions / Action due during the Quarter under review but not yet responded to by the Department, what reasons have been provided by the GPL [with mitigating measures to submission]** | |
| None | |

# OVERSIGHT ON GPL’S PUBLIC ENGAGEMENT

|  |
| --- |
| **5. [Oversight on GPL’s Public Engagement]** |
| **Overall Summary on Departmental Public Engagement** |
| *An overall Summary of the Committee’s assessment of GPL’s Public Engagements* |
| None |
| **THE DETAILS ON GPL’S PUBLIC ENGAGEMENTS** |
| **The steps / measures the GPL has taken to meaningfully involve the public / stakeholders in the course of its work / service delivery, during the period under review** |
|  |
| **Summary of Public Education programmes of the GPL during the period under review** |
| The GPL organised one Sector Parliament namely, the Senior Citizens Sector Parliament, the Lunch with the Elderly, Sixteen Days of Activism, and 6th Annual Vita Basadi to honour unsung heroines in the communities of the Gauteng Province. GPL has also engaged with the service providers who provide outsourced services to the Legislature to ensure that contracts are initiated, managed according to the existing terms and timelines as well as deliverables are met. |
| **Feedback sessions conducted by the Department** **during the period under review** |
| None |

# OVERSIGHT ON GPL’S MPLEMENTATION OF LAWS

| **6. [GPL IMPLEMENTATION OF LAWS (Specifically relevant to the Portfolio of this Department)** |
| --- |
| *Overall Summary on GPL’s implementation of relevant (portfolio specific) Laws / Legislation* |
| Monthly financial reports were produced and submitted to the Executive Authority in line with the provisions of FMPPLA. |

# OVERSIGHT ON DEPARTMENTAL IMPLEMENTATION OF INTERNATIONAL AGREEMENTS / TREATIES

| **7. [DEPARTMENTAL IMPLEMENTATION OF INTERNATIONAL AGREEMENTS / TREATIES]** |
| --- |
| *Overall Summary on Departmental implementation of relevant Internal Agreements / Treaties [Only if applicable]* |
| None |

# OVERSIGHT ON GPL’S PROJECT MANAGEMENT

| **8. [GPL’S PROJECT MANAGEMENT]** |
| --- |
| *Overall Summary on management and delivery of Institutional Projects* |
| The following were projects scheduled for the quarter review:  **Space Optimisation Project –** The Transactional Advisor was appointed.  **Upgrade of Aged Infrastructure: Roof Covering, Structure and Waterproofing (Phase 1: Rehabilitation of Concrete Sheet Roof Portion –Main Building):** The project is behind schedule as the tender was found to be unresponsive. Re Advertisement of the tender was rescheduled for 10 December 2021.: Requested additional budget was approved during adjustment and engagement with CIDB is ongoing.  **Upgrade of Aged Infrastructure: Roof Covering, Structure and Waterproofing (Phase 2: Rehabilitation of Tiled Portion – Main Building).:** The project is behind schedule as the tender was found to be unresponsive. Re Advertisement of the tender was rescheduled for 10 December 2021.: Requested additional budget was approved during adjustment and engagement with CIDB is ongoing.  **Money Bills Amendment Procedure and Related Matters Bill Project**: The identification and appointment of a service provider to conduct a feasibility study remains pending. Other alternative options are currently being considered to ensure that the study is completed. The team is currently identifying relevant institutions and the planned implementation is the 1st Quarter 2022/23 FY  **Digital Legislature:** The last milestone, i.e. Repurposing of Committee Rooms for Hybrid meetings is currently underway. The vendor has however reported  delays in the completion of the project by the end of the 3rd Quarter given the shortage of material. The planned completion will have to be moved guided by updated report of the vendor.  **Business Process Modelling Project:** The4 Business Process Models were validated, Level 2 Processes has been mapped and documented, and the Scope for level 3 processes were developed. |

# OVERSIGHT ON GPL’S ACHIEVEMENT ON GEYODI EMPOWERMENT IN COMMUNITIES

| **9. GEYODI EMPOWERMENT** |
| --- |
| *Overall Summary on Institution’sl achievement on actual GEYODI empowerment in communities* |
| None reported. |

# OVERSIGHT ON GPL’S COMPLIANCE AND QUALITY

| **10. [INSTITUTION’S COMPLIANCE AND QUALITY]** | |
| --- | --- |
| *Overall Summary on GPL’s Compliance and Quality* | |
| The GPL submitted the Quarterly Report on time, and it is in line with the reporting requirements of 30 days after the end of the quarter.   1. Timeframes: within the required timeframe. 2. Legal parameters: submission was in compliance with FMPPLA, PFMA and other relevant Legislation and Regulations. | |
| **THE DETAILS ON GPL’S COMPLIANCE, QUALITY AND TIMELINESS OF RESPONSES TO REQUESTS FOR INFORMATION** | |
| **Auditor General (AGSA)** | N/A for the reporting quarter. |
| **Public Service Commission (PSC)** | None |
| **Compliance with relevant fiduciary Legislation [e.g. PFMA]** | The GPL has undertaken various activities to enhance compliance with relevant fiduciary requirements and principles of good governance and contribute to the planned performance indicator with respect to the annual audit outcome. |

# OVERSIGHT ON ANY OTHER COMMITTEE FOCUS AREA

| **11. [ANY OTHER COMMITTEE FOCUS AREA] (Only relevant if deemed necessary)** |
| --- |
| *Any other area of Departmental performance with respect to its Quarter Report that the Committee wishes to report on, which is not already included in any of the above Focus Areas.* |
| None. |

# OVERSIGHT ON A CAPACITATED PUBLIC SERVICE AND GOOD GOVERNANCE

# OVERSIGHT ON A CAPACITATED PUBLIC SERVICE AND GOOD GOVERNANCE

| **12.1 [A CAPACITATED DEPARTMENT]** |
| --- |
| **Overall Summary on a capacitated institution and Good Governance** |
| *An overall Summary of whether the Committee thinks the GPL is adequately capacitated and resourced to carry out its functions and discharge its mandates* |
| 2 bursaries were awarded in the quarter under review. |
| **THE DETAILS ON A CAPACITATED DEPARTMENT** |
| *Information on the current Departmental Structure and level of implementation thereof* |
| **Detailed information on the current vacancies (at all staff levels)** |
| Not reported |
| **Current acting positions (at all Staff levels)** |
| Not reported |
| **Terminations during the period under review** |
| 0 |
| **New appointments during the period under review** |
| 6 |
| **Detailed information on the GEYODI / HDI empowerment for the period under review** |
| None |
| **Detailed information on any suspensions for the period under review** |
| None |

# OVERSIGHT ON GOOD GOVERNANCE

|  |
| --- |
| **12.2 [GOOD GOVERNANCE]** |
| **Overall Summary on Good Governance processes at the GPL** |
| *An overall Summary of whether the Committee thinks the GPL is adequately governed and thus able to carry out its functions and discharge its mandates* |
| The GPL participated in several initiatives and engagements amongst others, National Speakers’ Forum, Task Team meetings of the Legislature Support Services, SALSA, the National Bargaining Forum, 51st Commonwealth Parliamentary Association Conference and 2021 SoCATT Africa Region Professional Development Seminar, The Southern Africa Development Community Organisation of Public Accounts Committee, and 17TH Commonwealth Speakers The Speaker of the Gauteng Provincial Legislature was elected Treasure of the CPA Africa Region for a three (3) year term ending in 2024 at the 51st Commonwealth Parliamentary Association Conference. The Speaker and the Chairperson of Health Committee met with the CG of the Chinese Consulate in Johannesburg to discuss possible  areas of collaboration and sharing of lessons from the Chinese strategies in curbing Covid-19. A detailed Legislative-Relations Strategy report is available for further insights. |

# OVERSIGHT ON POSITIVE OUTCOMES OF GPL ACTIVITIES

| **13. [Summarized information on any positive outcomes of Institutional activities during the period under review]** |
| --- |
| The GPL continued to operate its business virtually for most of its services and activities. |

# CONCERNS, FINDNGS, and RECOMMENDATIONS

The were no concerns raised during the consideration of the 3rd quarter performance report, but the Committee will continue monitoring the implementation of responses to recommendations raised previously.

# 15. ACKNOWLEDGEMENTS

The Committee hereby thanks and acknowledges the co-operation of the Speaker of Gauteng Provincial Legislature, Hon. NL Mekgwe, Provincial Secretary Mr P Skosana and the Senior Management team during the consideration of the 3rd Quarter Performance Report for 2021/22 FY.

I would like to thank the following Members of the Committee: Hon. ME Khumalo, Hon. F Hassan, Hon. B Mncube, Hon. LE Makhubela; Hon. ST Msimanga, Hon. JB Bloom, Hon. I Mukwevho, Hon. C Mabala, Hon. A Alberts and Hon. DK Adams.

The Committee would like to thank the Group Committee Co-ordinator, Ms. M. Vaas; Senior Committee Co-ordinators, Ms. N. Montisi and Ms. B. Makgato; Committee Researchers, Mr. O. Mogole and Mr K Mdakane, Senior Information Officer, Ms. A. Netshivhuyu, Committee Administrators, Mr. X Sithole and Ms E. Sonny; Hansard Recorder, Ms N Zondo, Service Officer; Ms M. Katisi and Communication Officer, Mr S Simelane for the commitment they have shown during the process.

# 16. ADOPTION

In accordance with Rule 164 of the Standing Rules of the GPL, the Committee hereby presents the report to the House for adoption.

1. www.un.org/sustainabledevelopment/development-agenda [↑](#footnote-ref-1)